# Heading into NERF D.A. Forsyth, UIUC

# Lines in 3D (if it's empty!)

#### • Space of lines is 4 dimensional

- can specify a line by:
  - where it intersects each of two planes
    - some missing lines, some details
- alternative
  - directed line
  - point on the tangent plane of sphere





#### Lines in 3D with object can be nasty



Fig. 1. Maximal free segment. (a) All the rays collinear to r whose origin is between the two spheres "see" point B. (b) These rays are grouped into a maximal free segment S. Two other maximal free segments S and S'' are collinear to S.

Durand et al 02

# Simplify

- Place an object in a box
  - record radiance for each ray leaving box
- Easy to ray trace
  - look up eye ray in rays leaving box
    - report that value
- Capture is relatively easy



# Capturing this representation

- Obtain an awful lot of images from calibrated cameras
  - each image is a set of rays leaving the box
  - calibration





Figure 10: Object and lighting support. Objects are mounted on a Bogen fluid-head tripod, which we manually rotate to four orientations spaced 90 degrees apart. Illumination is provided by two 600W Lowell Omni spotlights attached to a ceilingmounted rotating hub that is aligned with the rotation axis of the tripod. A stationary 6'  $\mathbf{x}$  6' diffuser panel is hung between the spotlights and the gantry, and the entire apparatus is enclosed in black velvet to eliminate stray light.

Levoy and Hanrahan, 96

# Rendering



Figure 12: The process of resampling a light slab during display.

Levoy+Hanrahan, 96

# Issue: Sampling and Interpolation



Figure 12: The process of resampling a light slab during display.

- Almost every eye ray ends up "between" uv, st samples
  - we must interpolate (smooth; something)
  - Traditional: multilinear interpolation

### Interpolation helps, but..



**Figure 13:** The effects of interpolation during slice extraction. (a) No interpolation. (b) Linear interpolation in uv only. (c) Quadra-linear interpolation in uvst.



Figure 3: Using line space to visualize ray coverage. (a) shows a single

# Revise model

#### • We need:

- better interpolation
- easier capture
- some way to deal with the awkwardness of line representations
- Ideas:
  - move to scattering/volume rendering based representation
    - this will make the line representation easier to deal with
  - use a multilayer perceptron to represent relevant functions

# Scattering

- Fundamental mechanism of light/matter interactions
- Visually important for
  - slightly translucent materials (skin, milk, marble, etc.)
  - participating media
- In fact, it's the mechanism underlying reflection

# Participating media

#### • for example,

- smoke,
- wet air (mist, fog)
- dusty air
- air at long scales
- Light leaves/enters a ray travelling through space
  - leaves because it is scattered out
  - enters because it is scattered in
- New visual effects

#### Light hits a small box of material



# A ray passing through scattering material





From Lynch and Livingstone, Color and Light in Nature

# Airlight as a scattering effect





From Lynch and Livingstone, Color and Light in Nature



# Absorption



- Ignore in-scattering
  - only account for forward scattering
- Assume there is a source at t=T
  - of intensity I(T)
  - what do we see at t=0?



$$\begin{array}{c} \checkmark \\ I(t) \\ I(t - \delta t) = I(t) - \sigma(t)I(t)\delta(t) \\ \uparrow \\ \\ Extinction \\ coefficient \\ \hline \\ \frac{dI}{dt} = \sigma(t)I(t) \\ \frac{d\log I}{dt} = \sigma(t) \\ I(T) = I(0)e^{\int_0^T \sigma(t)dt} \\ \qquad \qquad \uparrow \\ \\ Eye \text{ is at } 0 \\ \hline \\ \\ Eye \text{ is at } 0 \\ \hline \\ \\ Itensity \text{ at } T \\ \hline \\ \end{array}$$

#### More interesting...

- Intensity is "created along the ray"
  - by (say) airlight
  - Model the particles glow with intensity C(x)

 $\Delta s$ 

0

0

0

0

E

Cross sectional area of "slab" is E Contains particles, radius r, density rho

Too few to overlap when projected

Light out = Light in -Light absorbed+ Light generated

Light generated: C x (area fraction of proj. particles)

which is

$$C(\mathbf{x}(s))\frac{(\rho E\Delta s)\pi r^2}{E} = C(\mathbf{x}(s))\sigma(s)\Delta s$$



Generation

$$I(0) = \int_0^T \mathbf{c}(\mathbf{x}(s))\sigma(s)e^{-\int_0^s \sigma(u)du}ds$$



Accumulate along ray

## Yields

The volume density  $\sigma(\mathbf{x})$  can be interpreted as the differential probability of a ray terminating at an infinitesimal particle at location  $\mathbf{x}$ . The expected color  $C(\mathbf{r})$  of camera ray  $\mathbf{r}(t) = \mathbf{o} + t\mathbf{d}$  with near and far bounds  $t_n$  and  $t_f$  is:

$$C(\mathbf{r}) = \int_{t_n}^{t_f} T(t)\sigma(\mathbf{r}(t))\mathbf{c}(\mathbf{r}(t),\mathbf{d})dt, \text{ where } T(t) = \exp\left(-\int_{t_n}^t \sigma(\mathbf{r}(s))ds\right).$$
(1)

Mildenhall et al, 20

# Actual rendering...

- Integration problem
  - walk back along ray from viewpoint, sampling
    - collect color at sample point
    - accumulate transmission
      - if weight is too small, stop walking
- This could be nasty...
  - variety of strategies, depending on what we know about c, sigma, eg
    - known in voxels
      - cut ray into segments (per voxel), compute integral per segment
    - parametric function
      - cut ray into uniform segments, one sample per segment
- but the integral is a differentiable function of c, sigma

# NERF representations

- Build neural network to predict
  - c, sigma as functions of position, direction, parameters
- Render this object with a volume renderer
  - to make images
- Learn this object by
  - adjusting parameters (gradient descent etc)
    - so that images it produces, with renderer are the same as
      - known images
      - geometrically calibrated to one another

#### Positional encoding



We leverage these findings in the context of neural scene representations, and show that reformulating  $F_{\Theta}$  as a composition of two functions  $F_{\Theta} = F'_{\Theta} \circ \gamma$ , one learned and one not, significantly improves performance (see Fig. 4 and Table 2). Here  $\gamma$  is a mapping from  $\mathbb{R}$  into a higher dimensional space  $\mathbb{R}^{2L}$ , and  $F'_{\Theta}$  is still simply a regular MLP. Formally, the encoding function we use is:

$$\gamma(p) = \left(\sin(2^0 \pi p), \cos(2^0 \pi p), \cdots, \sin(2^{L-1} \pi p), \cos(2^{L-1} \pi p)\right).$$
(4)

This function  $\gamma(\cdot)$  is applied separately to each of the three coordinate values in x (which are normalized to lie in [-1, 1]) and to the three components of the

# NERF representations



Fig. 2: An overview of our neural radiance field scene representation and differentiable rendering procedure. We synthesize images by sampling 5D coordinates (location and viewing direction) along camera rays (a), feeding those locations into an MLP to produce a color and volume density (b), and using volume rendering techniques to composite these values into an image (c). This rendering function is differentiable, so we can optimize our scene representation by minimizing the residual between synthesized and ground truth observed images (d).

Mildenhall et al, 20

#### Integrator

We numerically estimate this continuous integral using quadrature. Deterministic quadrature, which is typically used for rendering discretized voxel grids, would effectively limit our representation's resolution because the MLP would only be queried at a fixed discrete set of locations. Instead, we use a stratified sampling approach where we partition  $[t_n, t_f]$  into N evenly-spaced bins and then draw one sample uniformly at random from within each bin:

$$t_i \sim \mathcal{U}\left[t_n + \frac{i-1}{N}(t_f - t_n), \ t_n + \frac{i}{N}(t_f - t_n)\right].$$
 (2)



# Integrator

Length of interval  

$$\hat{C}(\mathbf{r}) = \sum_{i=1}^{N} T_i (1 - \exp(-\sigma_i \delta_i)) \mathbf{c}_i, \text{ where } T_i = \exp\left(-\sum_{j=1}^{i-1} \sigma_j \delta_j\right)$$
Generated in interval

Transmission to eye

Recall:

$$1 - e^{-\sigma\delta} \approx 1 - (1 - \sigma\delta + \dots) = \sigma\delta$$

Mildenhall et al, 20

## What works: Radiance, pos'n encoding



Ground Truth

Complete Model

No View Dependence No Positional Encoding

Fig. 4: Here we visualize how our full model benefits from representing viewdependent emitted radiance and from passing our input coordinates through a high-frequency positional encoding. Removing view dependence prevents the model from recreating the specular reflection on the bulldozer tread. Removing the positional encoding drastically decreases the model's ability to represent high frequency geometry and texture, resulting in an oversmoothed appearance.

Mildenhall et al, 20

# Integration is hard



#### Controlling the integrator

Our rendering strategy of densely evaluating the neural radiance field network at N query points along each camera ray is inefficient: free space and occluded regions that do not contribute to the rendered image are still sampled repeatedly. We draw inspiration from early work in volume rendering [20] and propose a hierarchical representation that increases rendering efficiency by allocating samples proportionally to their expected effect on the final rendering.

Instead of just using a single network to represent the scene, we simultaneously optimize two networks: one "coarse" and one "fine". We first sample a set of  $N_c$  locations using stratified sampling, and evaluate the "coarse" network at these locations as described in Eqns. 2 and 3. Given the output of this "coarse" network, we then produce a more informed sampling of points along each ray where samples are biased towards the relevant parts of the volume. To do this, we first rewrite the alpha composited color from the coarse network  $\hat{C}_c(\mathbf{r})$  in Eqn. 3 as a weighted sum of all sampled colors  $c_i$  along the ray: Mildenhall et al, 20



Ground Truth NeRF (ours) LLFF [28] SRN [42]

Mildenhall et al, 20


Mildenhall et al, 20



Mildenhall et al, 20

#### Correction

- sigma is NOT a function of angle
  - I may have implied it was; wrong
- doesn't seem to affect conclusions

#### Quiz: what could go wrong?

## Quiz: what could go wrong?

#### • A1:

- variance in integral estimate makes learning, rendering slow
  - symptom is present, diagnosis ?
- A2:
  - different c, sigma give the same images
    - pretty much guaranteed to be true
- A3:
  - good representations may require many views
    - see above
- A4:
  - for surface objects, c, sigma are very odd functions
    - may also contribute to learning problems
    - what is prior to be?

## Quiz: what could go wrong?

#### • A5:

- sampler is inefficient
  - pretty much guaranteed
  - why not make a more efficient sampler using nerf-style repn?
- A6:
  - noise model could do with improvement
  - current:
    - predict example images without error
  - required:
    - predict new images well
      - don't know new images, but could use various image priors?

## NeRF - sampler inefficiency and noise

- Samples do not know where the density is
  - sampler variance will be penalized by loss
    - if the sampler has high variance, it reports the wrong value often,
      - and so gets gradient
  - importance process helps, but...
  - reducing sampler variance biases the representation
    - the sampler doesn't change, but the function does..
    - because that's how we learn the function

# NeRF representations are likely oversmoothed



#### Importance sampler variance

• Assume we draw

 $x_i \sim p(x)$ 

• and form



• I is a random variable, and

$$E\left[I\right] = \mu = \int f(x)dx$$

$$\operatorname{Var}(I) = E[I^{2}] - E[I]^{2} = \frac{1}{N} \left( \int \frac{(f(x) - \mu p(x))^{2}}{p(x)} dx \right)$$

#### NeRF - sampler inefficiency and noise

- In our case, p is (essentially) uniform
  - so a low variance sampler will want f to be close to mean  $\int \left(f(x) \mu\right)^2 dx$
  - while keeping mean fixed (so integral along ray is right)
- NeRF wants to smooth sigma!
- Notice this might not be visible in training images
  - it'll smooth in directions along training rays

#### Voxel based fix



Figure 1: Illustrations of (a) uniform sampling; (b) importance sampling based on the results in (a); (c) the proposed sampling approach based on sparse voxels.

Liu et al 21 (web page)



NeRF

Ours

#### **Ground Truth**

Liu et al 21 (web page)



NeRF

Ours

#### **Ground Truth**

Liu et al 21 (web page)



NeRF

Ours

**Ground Truth** 

#### Q: why should we have to use voxels?

- Disadvantage of voxels
  - inefficient importance sampler
  - building an exterior voxel representation is easy
    - building an interior voxel representation is hard
- Alternative strategy
  - learn a sampler at the same time as you learn NeRF repn
  - penalize with image prior on (otherwise unknown) novel views

## NeRF - improving positional encoding

#### • General phenomenon

- Neural networks tend to learn
  - low spatial frequency representations fast
  - and high spatial frequency representations slowly
- Often, this doesn't manifest in any important way
  - because the inputs are very high dimensional
- But for low dimensional inputs, this is an issue
  - eg learn image value as f(x, y)
  - eg learn density, color as f(x, y, z, angles)

## Spatial frequencies - II

#### • Exercise:

- (a) fit image as f(x, y) using MLP
  - what does it look like?
- There is a simple geometric fix
  - embed x, y in much higher dimensional space
  - fit a low spatial frequency function \*in this space\*
    - can have high spatial frequencies in lower dimensional space

### Q: what is a good embedding?

**Gaussian:**  $\gamma(\mathbf{v}) = [\cos(2\pi \mathbf{B}\mathbf{v}), \sin(2\pi \mathbf{B}\mathbf{v})]^{\mathrm{T}}$ , where each entry in  $\mathbf{B} \in \mathbb{R}^{m \times d}$  is sampled from  $\mathcal{N}(0, \sigma^2)$ , and  $\sigma$  is chosen for each task and dataset with a hyperparameter sweep. In the absence of any strong prior on the frequency spectrum of the signal, we use an isotropic Gaussian distribution.

Our experiments show that all of the Fourier feature mappings improve the performance of coordinatebased MLPs over using no mapping and that the Gaussian RFF mapping performs best.

Tancik et al, 20 (web page)



Figure 1: Fourier features improve the results of coordinate-based MLPs for a variety of highfrequency low-dimensional regression tasks, both with direct (b, c) and indirect (d, e) supervision. We visualize an example MLP (a) for an image regression task (b), where the input to the network is a pixel coordinate and the output is that pixel's color. Passing coordinates directly into the network (top) produces blurry images, whereas preprocessing the input with a Fourier feature mapping (bottom) enables the MLP to represent higher frequency details.

Tancik et al, 20 (web page)

#### What follows...

#### • For any low-D NN predictor

- you should do something like this
- Inc.
  - image modelling
  - NeRF style rep'ns
  - 3D surface modelling (occupancy)
    - Q: does this make CVXNet better?
  - etc
- (Maybe)
  - you can get improvements by doing this to AE, VAE codes (?)
    - or improve learning

### NERF - uniqueness

- Is the reconstruction unique?
  - Why to worry:
    - If not, test image may not be what you want
- Almost certainly not
  - example on next slide suggests I can construct a NERF
    - large norm
    - near zero image
  - but this might not be a bad thing...



## Tomography (rapid summary!)

- Pass x-rays through the body in many different directions
  - record result
- Reconstruct 3D density from x-rays
  - absorption only no local generation of light

$$\begin{array}{c} \checkmark \\ I(t) \\ I(t - \delta t) = I(t) - \sigma(t)I(t)\delta(t) \\ \uparrow \\ \\ Extinction \\ coefficient \\ \hline \\ \frac{dI}{dt} = \sigma(t)I(t) \\ \frac{d\log I}{dt} = \sigma(t) \\ I(T) = I(0)e^{\int_0^T \sigma(t)dt} \\ \qquad \qquad \uparrow \\ \\ Eye \text{ is at } 0 \\ \hline \\ \\ Eye \text{ is at } 0 \\ \hline \\ \\ Itensity \text{ at } T \\ \hline \\ \end{array}$$



#### The x-ray image

• We have, for one pixel

$$I(0) = I(T)e^{-\int_0^T \sigma(t)dt}$$

$$\uparrow \qquad \uparrow$$
Eye is at 0 Intensity of x-ray source

$$-\log \frac{I(0)}{I(T)} = \int_{0}^{T} \sigma(t(\mathbf{x})) dt$$

$$\uparrow_{Want}$$
Observe

## 2D example

- Lines (rays) encoded by angle, distance to origin
- Sinogram
  - plot of density observed as a function of angle, distance to origin





#### Sinogram

## Tomography

- Q: Can you get object from sinogram?
  - yes
    - (sketch follows)
    - important limits from sampling issues
- Q: Is it unique?
  - i.e. is mapping from object to sinogram injective?
  - yes
    - important limits from sampling issues
    - some noise
- Q: what role do deep networks have here?
  - A: largely worked out (see papers)
    - helpful, but should be constrained by sampling theory, etc
      - or else they make fake structures

## Roughly why you can reconstruct - I



$$d(x) = \int_{-\infty}^{\infty} \sigma(x, y) dy$$

$$\uparrow$$
Observe Want

## Roughly why you can reconstruct - II $d(x) = \int_{-\infty}^{\infty} \sigma(x, y) dy$

Fourier transform of d (which we can compute)

$$\mathcal{F}\left\{d\right\}\left(\omega_{x}\right) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \sigma(x, y) dy\right] e^{-j\omega_{x}x} dx$$

$$\mathcal{F}\left\{\sigma\right\}\left(\omega_x,\omega_y\right) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \sigma(x,y) e^{-j(\omega_x x + \omega_y y)} dx dy$$

$$\mathcal{F}\left\{d\right\}\left(\omega_{x}\right)=\mathcal{F}\left\{\sigma\right\}\left(\omega_{x},0\right)$$

#### Roughly why you can reconstruct - III

$$\mathcal{F}\left\{d\right\}\left(\omega_{x}\right) = \mathcal{F}\left\{\sigma\right\}\left(\omega_{x},0\right)$$

Fourier transform of d is a "slice" of Fourier transform of sigma

Each different projection direction yields a different "slice"

- Strategy:
  - collect many different directions (= slices)
  - this yields an approximation to FT of sigma
  - invert FT you now have sigma
- Issues:
  - sampling
    - we don't see FT of d exactly, just samples
    - we don't see FT of sigma exactly, only samples of slices

#### Various features + complications

#### • Features

- uniqueness of FT yields uniqueness of reconstruction
- Complications
  - it's a nuisance to take x-rays orthographically
    - rather use a point source (= perspective; = fan beam)
    - mild mathematical complications follow
    - practical complications follow more samples, etc.
- This \*ISN'T\* the NeRF reconstruction problem
  - no internal source
    - SPECT single photon emission computed tomography
      - swallow some radiating material, then get imaged!

#### Current rough state of math

- Versions of the NERF repn. are studied in tomography
  - Note if sigma is known, mapping from C to I is linear
  - If sigma is known constant, then it's injective
    - i.e. an infinite set of images has a unique C
  - If sigma isn't known, and depends on angle, not much is known
    - pretty clearly mapping (sigma, c) -> images isn't injective

$$I(0) = \int_0^T \mathbf{c}(\mathbf{x}(s))\sigma(s)e^{-\int_0^s \sigma(u)du}ds$$
## RFF can be used for tomography



Sinogram, 21 angles, 513 dists

Reed Shepard phantom



Reed Shepard phantom



#### Sinogram, 21 angles, 513 dists

### Procedure

### • Learn RFF density rep'n so that

- its sinogram = ground truth sinogram
  - gradient descent
  - in these pix
    - 512 samples along ray
    - stratified uniform sampler, as in NeRF paper







#### Predicted sinogram, 21 angles, 513 dists





#### Reconstruction (by DAF, eeew!)

Reed Shepard phantom

## Possible NeRF insights...

- A poor density can give quite good images
  - which we kind of guessed
- Sampling procedure causes NeRF rep'n to be smoothed
  - which we kind of guessed
- Focus on error in projected images
  - rather than repn

# Why this might not be a bad thing

- Don't need a reconstruction
  - need to predict renderings
- Failures of uniqueness as in picture are irrelevant
  - basic point is you can't see them
- It may be possible to fudge localization difficulties
  - in a useful way



- Three orthographic cameras see two points
  - Black point correctly localized
  - Other is not
    - B, R reconstruct purple point
    - R, G reconstruct yellow point
  - What to do?
    - traditional:
      - find a point that minimizes least square reprojection error
    - NERF (?):
      - put together a density in triangle that "behaves well"

### What is a well behaved density...

