

# Splines

D.A. Forsyth, with slides from John Hart

# Core ideas: Assembly, Continuity

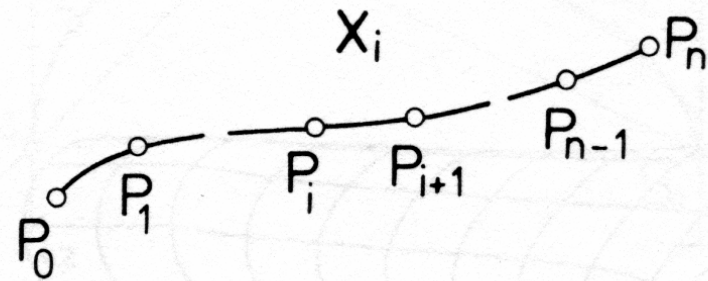
- We “join up” pieces of curve to meet various constraints
  - result is a spline
- Continuity
  - Parametric
    - $C^k$  : Curve and derivatives up to  $k$  are continuous
      - as a function of parameter value
    - Useful for (for example) animation
  - Geometric
    - $G^k$ : a reparametrisation exists that would achieve  $C^k$
    - Useful, because we often don't require parametric continuity
    - e.g. take two Hermite curves, both parametrised by  $[0, 1]$ , identify endpoints and derivatives

# Simple cases

- Join up two point Hermite curves
  - endpoints the same, vectors not -  $G^0$
  - endpoints, vectors the same -  $G^1$  (easy)
  - endpoints the same, vectors same direction -  $G^1$  (harder)
- Subdivide a Bezier curve
  - result is  $G^\infty$  if we reparametrize each segment as we should
    - but not necessarily if we move the control points!
- Join up Bezier curves
  - endpoints join -  $G^0$
  - endpoints join, end segments collinear -  $G^1$

# Cubic interpolating splines

- $n+1$  points  $P_i$
- $X_i(t)$  is curve between  $P_i, P_{i+1}$



**Fig. 3.11.** The spline segment  $X_i$ .

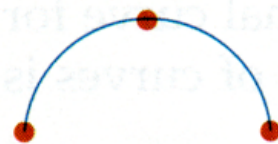
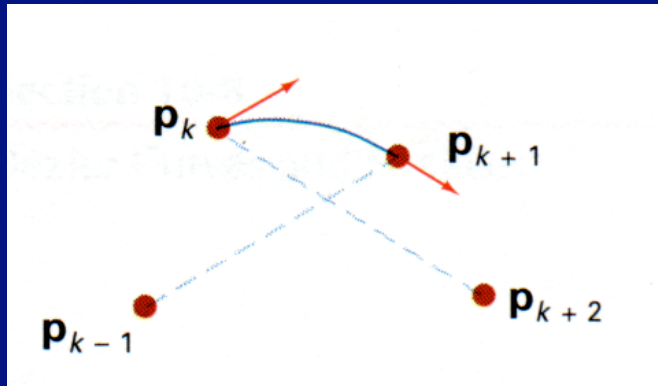
# Interpolating Cubic splines, $G^1$

- join a series of Hermite curves with equal derivatives.
- But where are the derivative values to come from?
  - Measurements

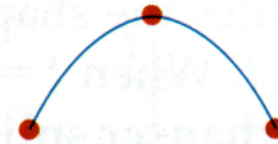
$$\frac{d\mathbf{X}_i}{dt}(0) = \frac{1}{2}(1 - t)(\mathbf{P}_{i+1} - \mathbf{P}_{i-1})$$

- Cardinal splines
  - average points
  - $t$  is “tension”
  - specify endpoint tangents
    - or use difference between first two, last two points

# Tension



$t < 0$   
(Looser Curve)



$t > 0$   
(Tighter Curve)

# Interpolating Cubic splines: $C^2$

- One parametrization for the whole curve
  - divided up into intervals, called knots

$$a = t_0 < t_1 < t_2 < \cdots < t_{N-1} < t_N = b.$$

$$\Delta t_i := t_{i+1} - t_i.$$

- In each segment, there is a cubic curve FOR THAT SEGMENT

$$\mathbf{A}_i(t - t_i)^3 + \mathbf{B}_i(t - t_i)^2 + \mathbf{C}_i(t - t_i) + \mathbf{D}_i$$

- And we must make this lot  $C^2$

$$t_i \leq t < t_{i+1}$$

# Continuity

- at interval endpoints, curves must be
  - Continuous

$$\mathbf{X}_i(t_i) = \mathbf{X}_{i-1}(t_i) \qquad \mathbf{X}_i(t_{i+1}) = \mathbf{X}_{i+1}(t_{i+1})$$

- have continuous derivative

$$\frac{d\mathbf{X}_i}{dt}(t_i) = \frac{d\mathbf{X}_{i-1}}{dt}(t_i)$$

- have continuous second derivative

$$\frac{d^2\mathbf{X}_i}{dt^2}(t_i) = \frac{d^2\mathbf{X}_{i-1}}{dt^2}(t_i)$$



# Curves

- Assume we KNOW the derivative at each point
  - write derivatives with ‘

$$\begin{aligned}\mathbf{X}_i(t_i) &= \mathbf{P}_i = \mathbf{D}_i \\ \frac{d\mathbf{X}_i}{dt}(t_i) &= \mathbf{X}'_i(t_i) = \mathbf{P}'_i = \mathbf{C}_i\end{aligned}$$

$$\mathbf{X}_i(t_{i+1}) = \mathbf{P}_{i+1} = \mathbf{A}_i \Delta t_i^3 + \mathbf{B}_i \Delta t_i^2 + \mathbf{C}_i \Delta t_i + \mathbf{D}_i$$

$$\mathbf{X}'_i(t_{i+1}) = \mathbf{P}'_{i+1} = 3\mathbf{A}_i \Delta t_i^2 + 2\mathbf{B}_i \Delta t_i + \mathbf{C}_i$$

# Curves

$$\begin{aligned} \mathbf{X}_i(t) = & \mathbf{P}_i \left( 2 \frac{(t - t_i)^3}{(\Delta t_i)^3} - 3 \frac{(t - t_i)^2}{(\Delta t_i)^2} + 1 \right) + \\ & \mathbf{P}_{i+1} \left( -2 \frac{(t - t_i)^3}{(\Delta t_i)^3} + 3 \frac{(t - t_i)^2}{(\Delta t_i)^2} \right) + \\ & \mathbf{P}'_i \left( \frac{(t - t_i)^3}{(\Delta t_i)^2} - 2 \frac{(t - t_i)^2}{(\Delta t_i)} + (t - t_i) \right) + \\ & \mathbf{P}'_{i+1} \left( \frac{(t - t_i)^3}{(\Delta t_i)^2} - \frac{(t - t_i)^2}{(\Delta t_i)} \right) \end{aligned}$$

# C<sup>2</sup> Continuity supplies derivatives

- Second derivative is continuous

$$\mathbf{X}''_{i-1}(t_i) = \mathbf{X}_i(t_i)$$

- Differentiate curves, rearrange to get

$$\begin{aligned} \Delta t_i \mathbf{P}'_{i-1} + 2(\Delta t_{i-1} + \Delta t_i) \mathbf{P}'_i + \Delta t_{i-1} \mathbf{P}'_{i+1} = \\ 3 \frac{\Delta t_{i-1}}{\Delta t_i} (\mathbf{P}_{i+1} - \mathbf{P}_i) + 3 \frac{\Delta t_i}{\Delta t_{i-1}} (\mathbf{P}_i - \mathbf{P}_{i-1}) \end{aligned}$$

- This is a linear system in tridiagonal form
  - can see as recurrence relation - we need two tangents to solve

# $C^2$ cubic splines

- Recurrence relations
  - $d(n-1)$  equations in  $d(n+1)$  unknowns ( $d$  is dimension)
- Options:
  - give  $P'_0, P'_1$  (easiest, unnatural)
  - second derivatives vanish at each end (natural spline)
  - give slopes at the boundary
    - vector from first to second, second last to last
  - parabola through first three, last three points
  - third derivative is the same at first, last knot

# More general splines

- We would like to retain continuity, local control
  - but drop interpolation
- Mechanism
  - get clever with blending functions
  - continuity of curve=continuity of blending functions
  - we will need to “switch” on or off pieces of function
    - e.g. linear example

# B-splines

- Knot vector

$$t_0 < t_1 < \dots < t_{n+k}$$

- Curve

$$\mathbf{X}(t) = \sum_{k=0}^n \mathbf{P}_i \mathbf{N}_{i,d}(t)$$

- $d$  is order

$$2 \leq d \leq n + 1$$

# Recursive definition

- Switches=base case

$$N_{i,1} = \begin{cases} 1 & t_i \leq t \leq t_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

- Spline

$$N_{i,d} = \left( \frac{t - t_i}{t_{i+d-1} - t_i} \right) N_{i,d-1}(t) + \left( \frac{t_{i+d} - t}{t_{i+d} - t_{i+1}} \right) N_{i+1,d-1}(t)$$

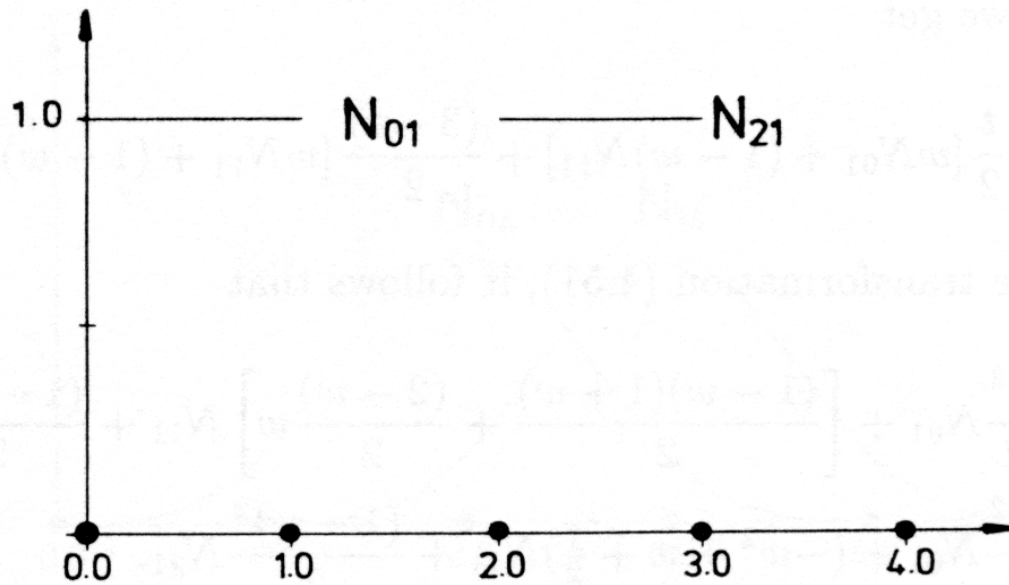


Fig. 4.22c. The B-splines  $N_{01}$ ,  $N_{21}$ .

These figures show blending functions with a uniform knot vector, knots at 0, 1, 2, etc.

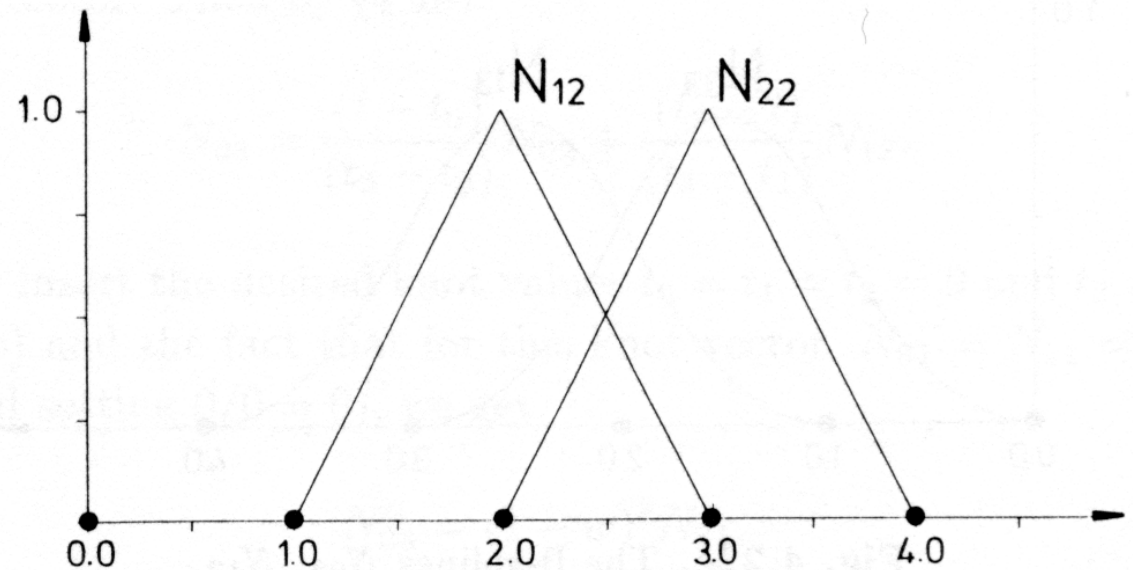
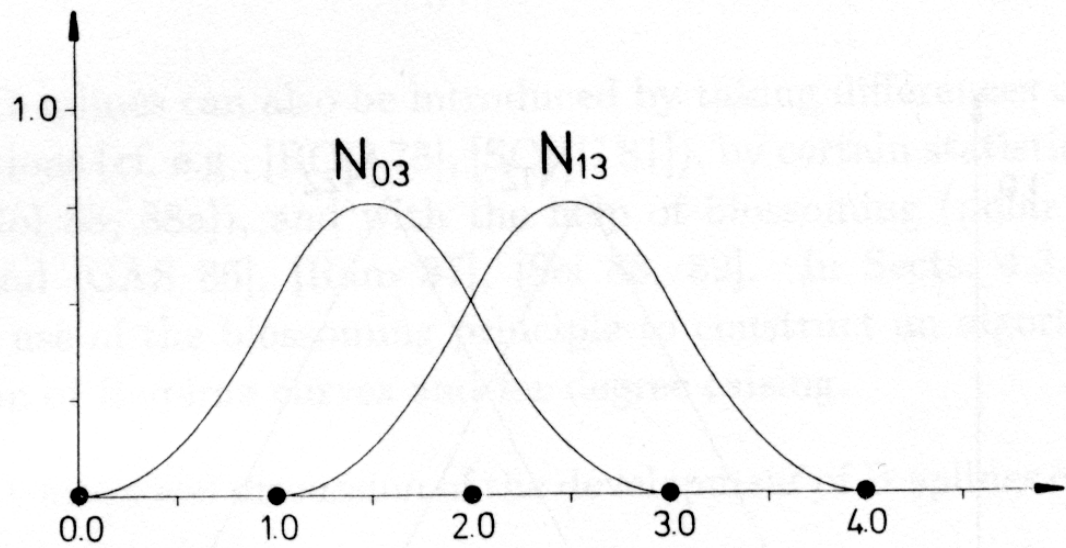
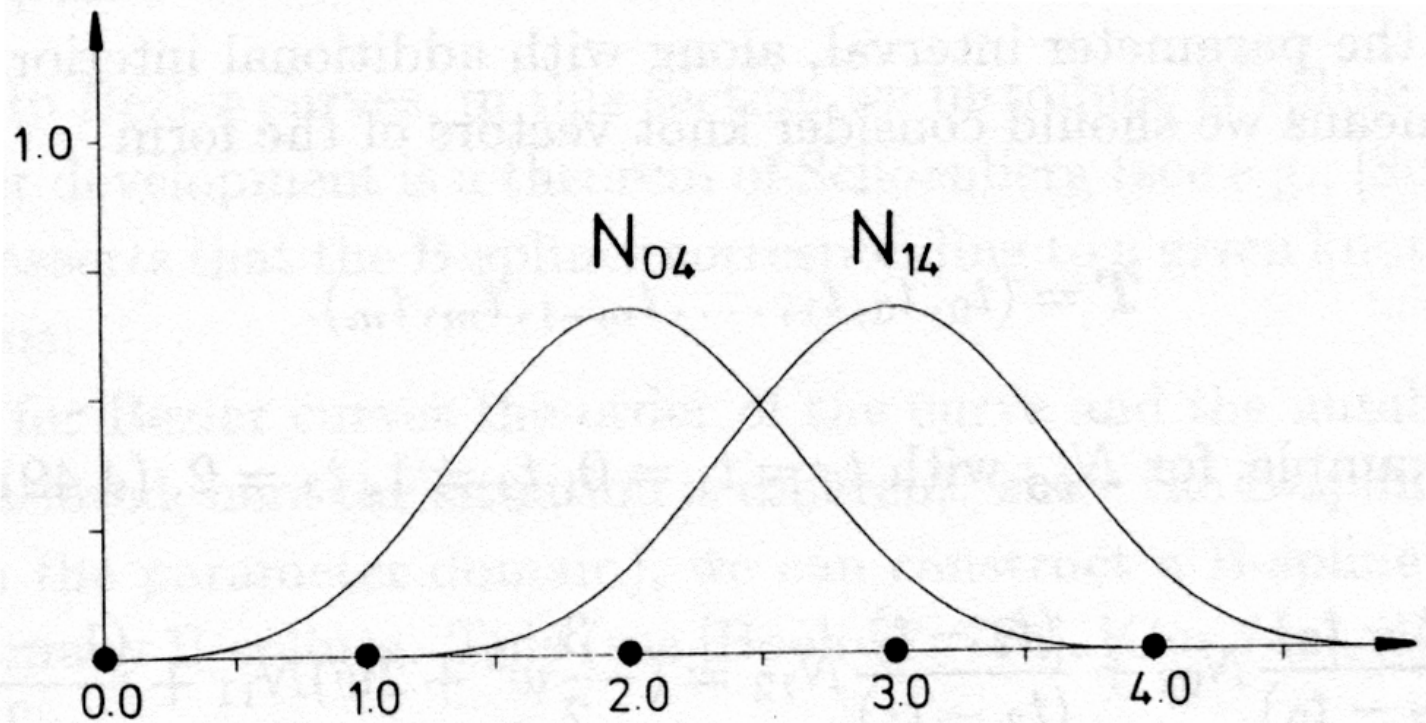


Fig. 4.22d. The B-splines  $N_{12}$ ,  $N_{22}$ .





**Fig. 4.22e.** The B-splines  $N_{03}$ ,  $N_{13}$ .



The B

# Closed B-Splines

- Periodically extend the control points and the knots

$$\mathbf{P}_{n+1} = \mathbf{P}_0$$
$$t_{n+1} = t_0$$

- etc

Fig. 4.26a.

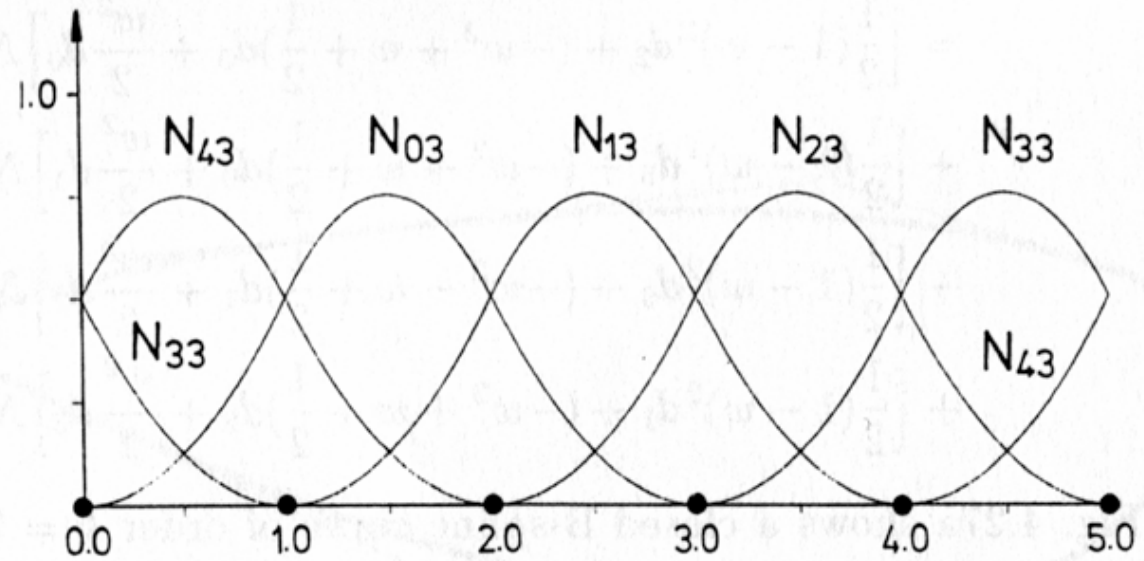
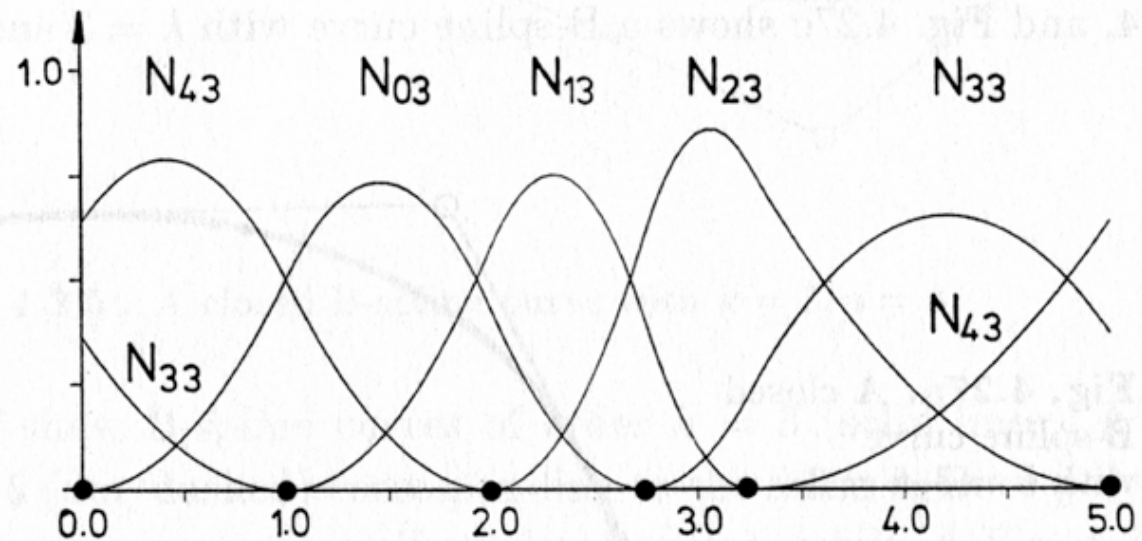
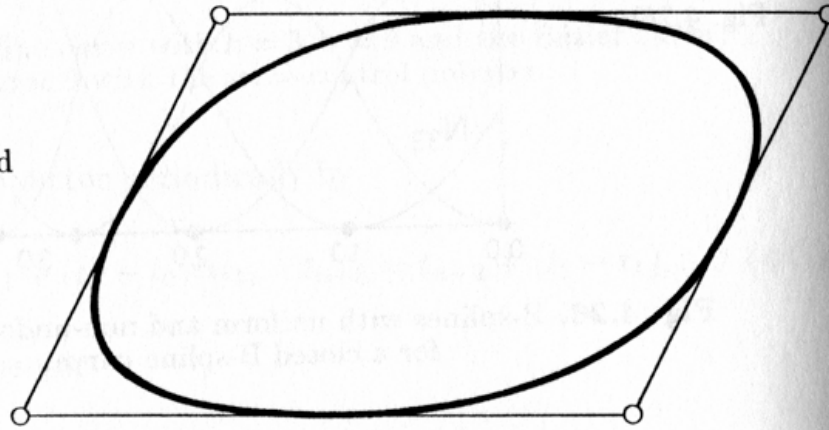


Fig. 4.26b.

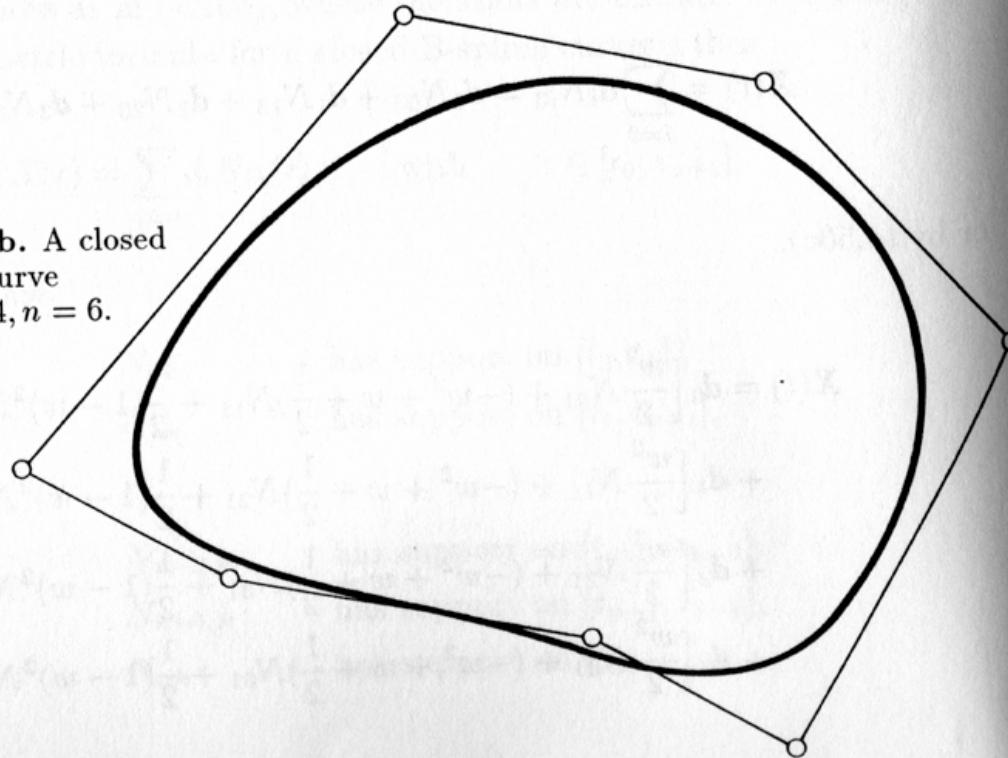


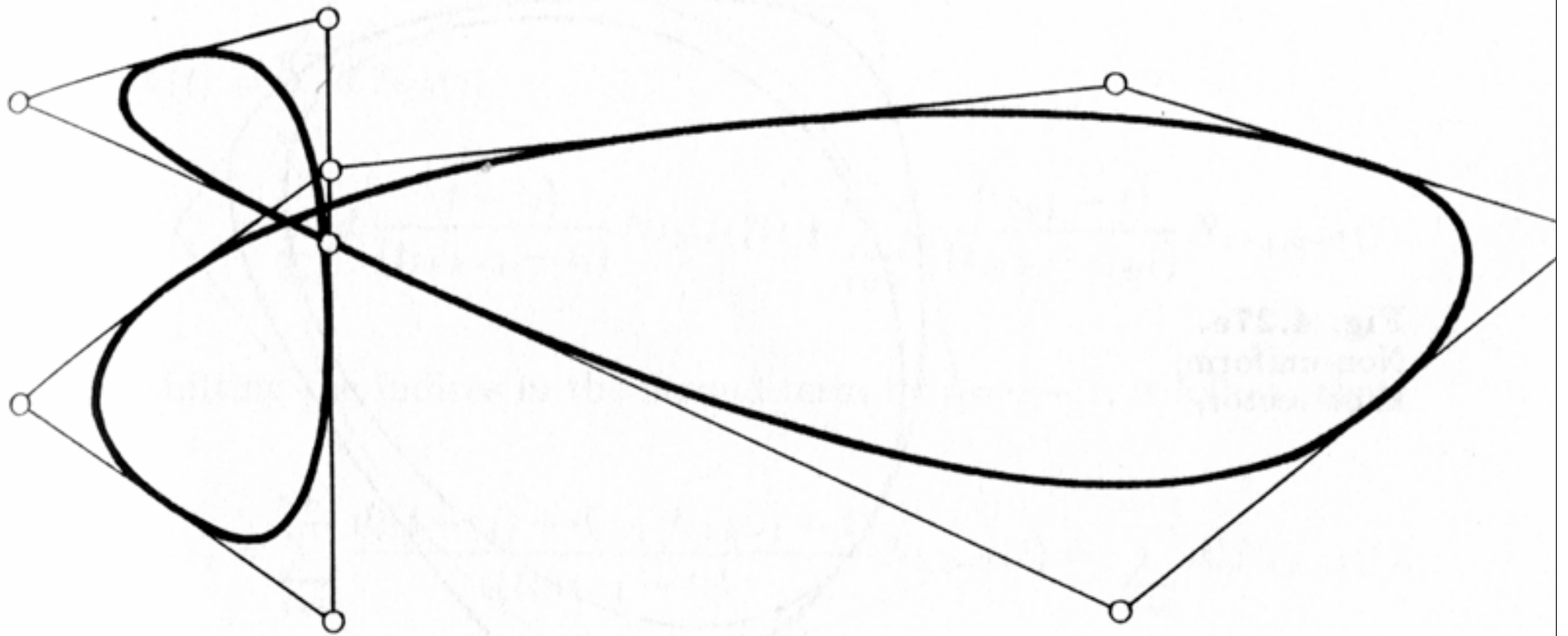
**Fig. 4.26.** B-splines with uniform and non-uniform knot vectors for a closed B-spline curve.

**Fig. 4.27a.** A closed  
B-spline curve  
with  $k = 3, n = 3$ .



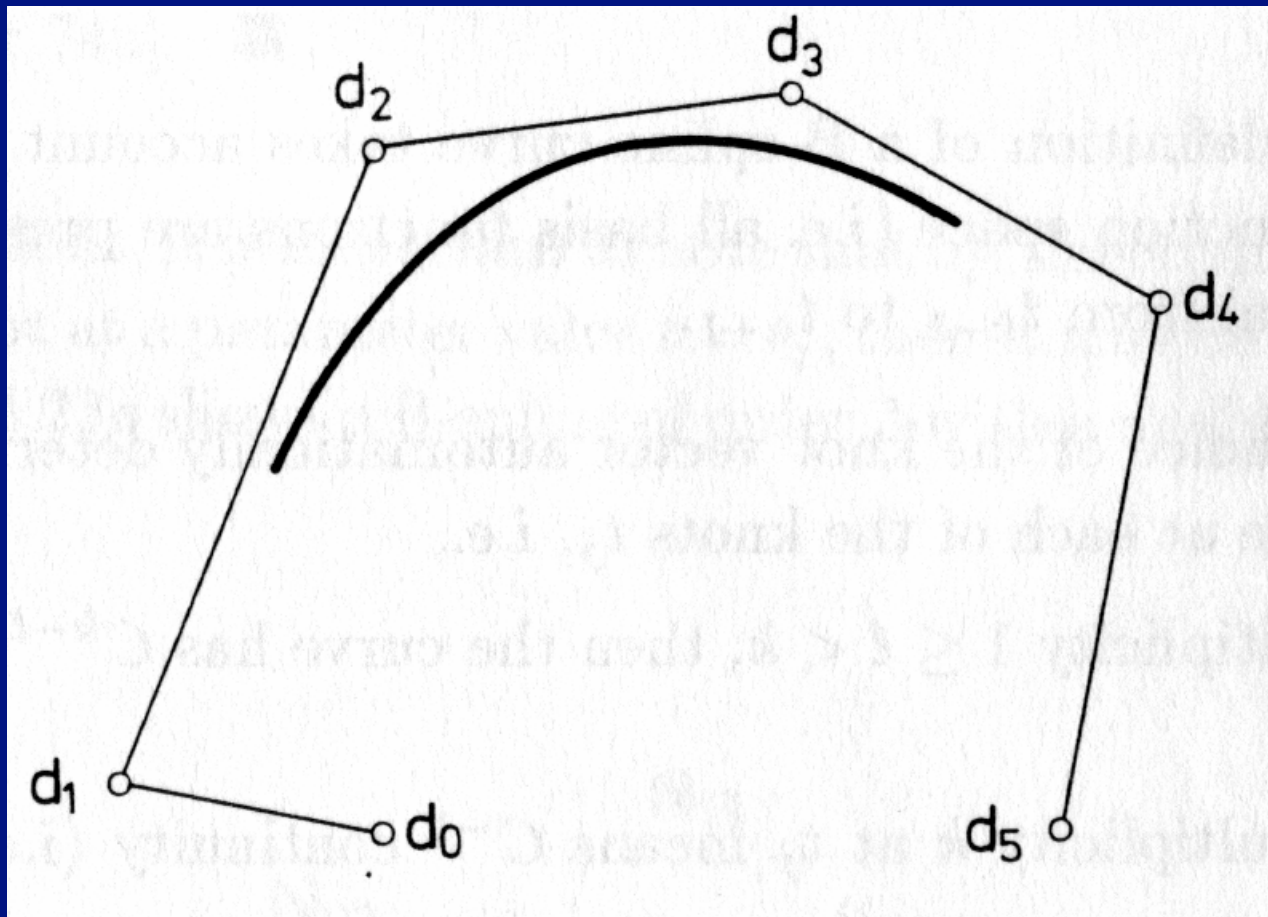
**Fig. 4.27b.** A closed  
B-spline curve  
with  $k = 4, n = 6$ .



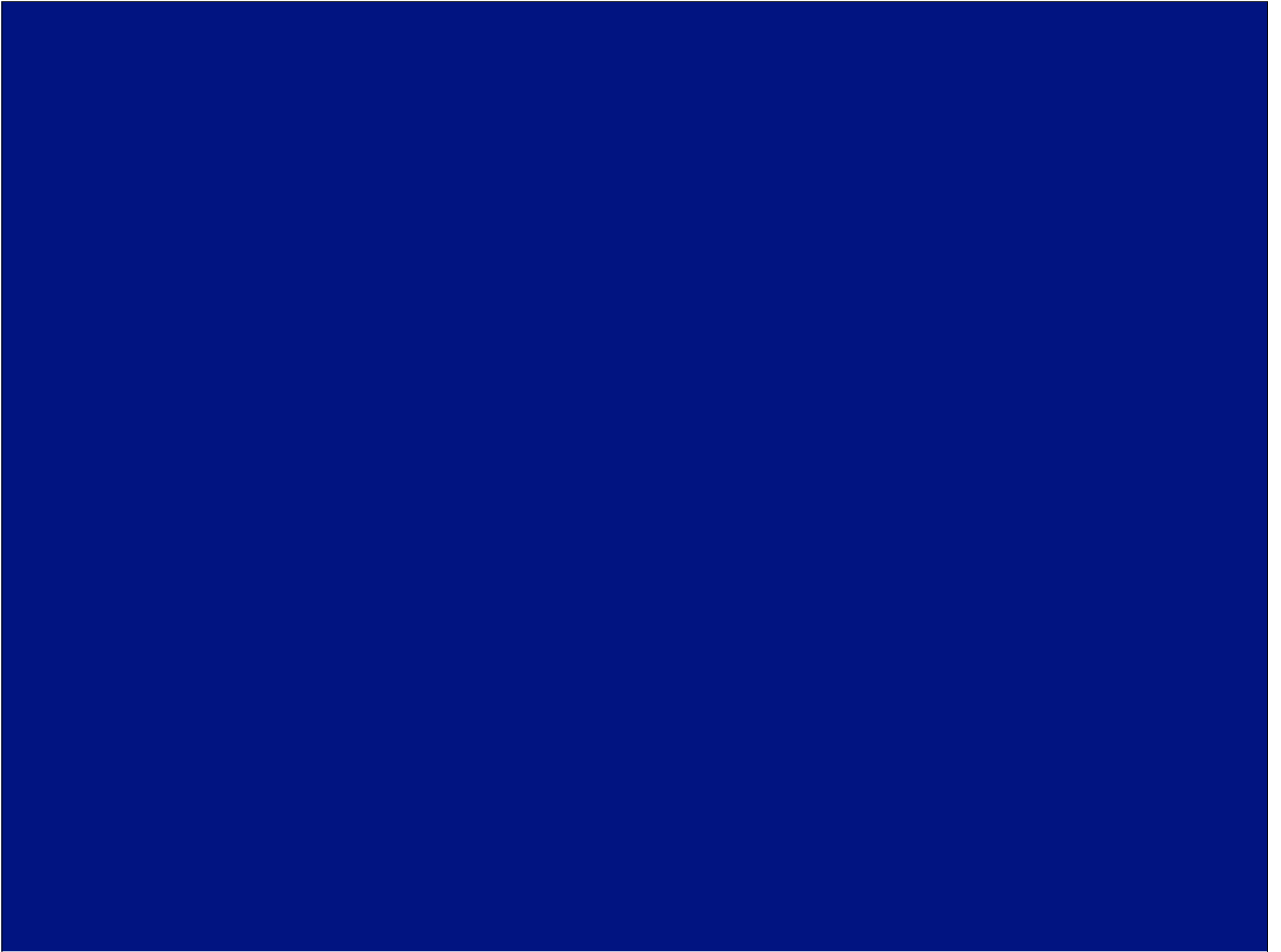


**Fig. 4.27c.** A closed B-spline curve with  $k = 3, n = 8$ .





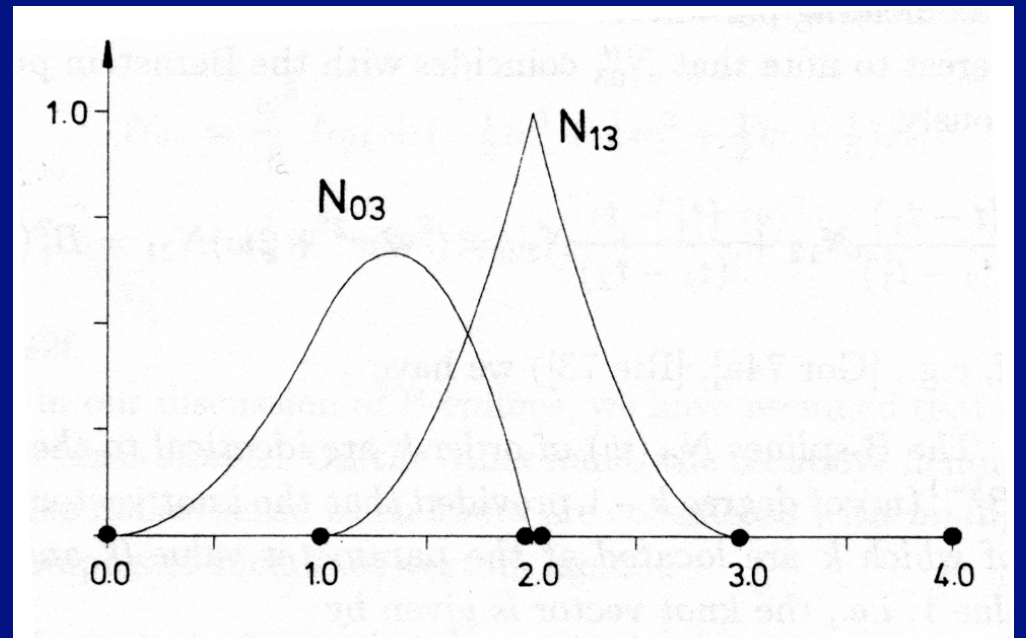
A B-spline curve, with knots at  $0, 1, \dots$  and order 5





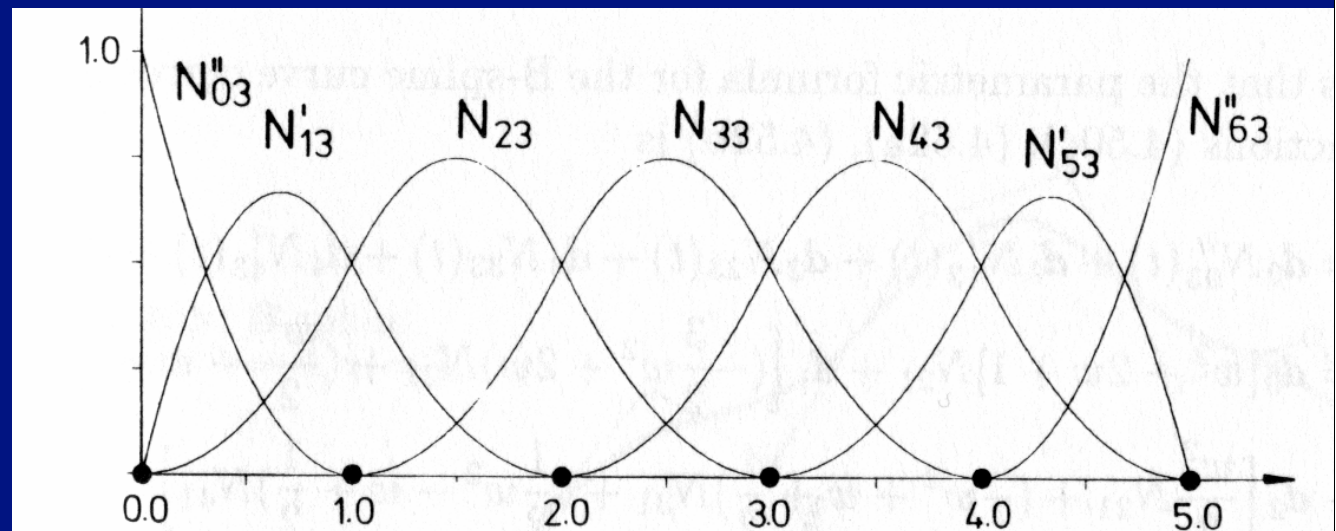
# Repeated knots

- Definition works for repeated knots
  - (if we are understanding about  $0/0$ )
- Repeated knot reduces continuity.
  - A B-spline blending function has continuity  $C_{d-2}$ ; if the knot is repeated  $m$  times, continuity is now  $C_{d-m-1}$
- e.g.  $\rightarrow$  quadratic B-spline (i.e. order 3) with a double knot



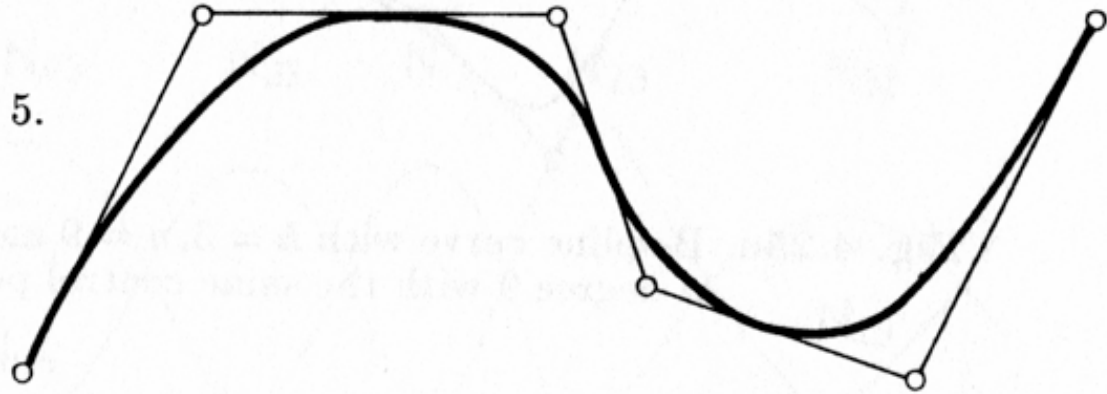
# Most useful case

- select the first  $d$  and the last  $d$  knots to be the same
  - we then get the first and last points lying on the curve
  - also, the curve is tangent to the first and last segment e.g. cubic case below
- Notice that a control point influences at most  $d$  parameter intervals - local control

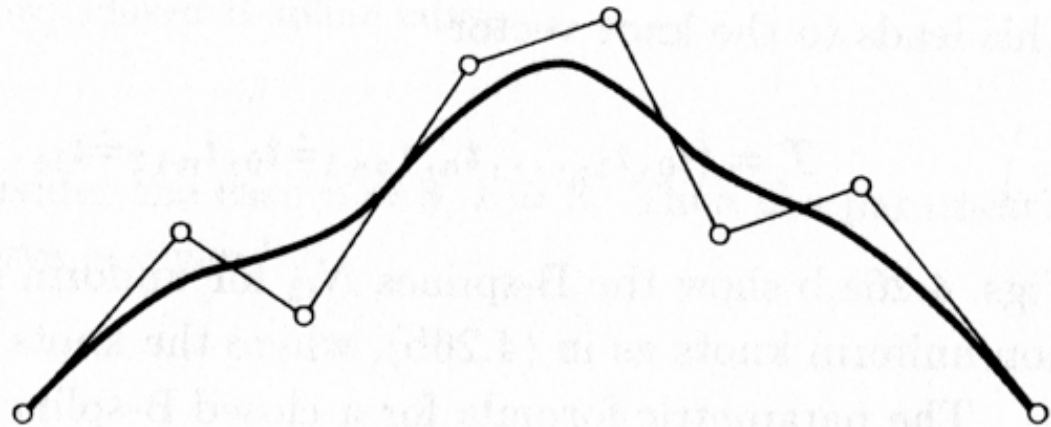


**Fig. 4.24a.** B-splines for an open B-spline curve with uniform knot vector.

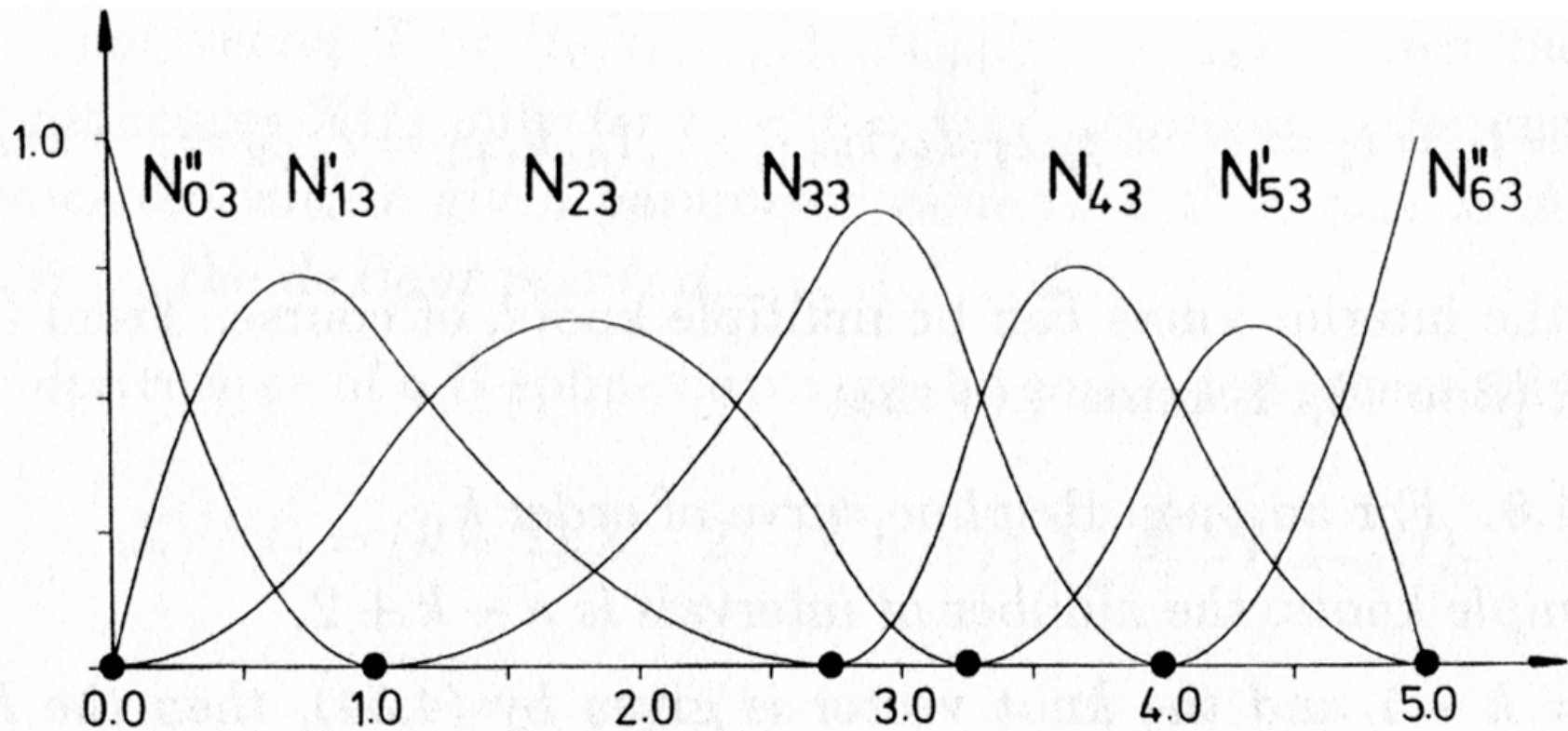
**Fig. 4.25a.** B-spline curve with  $k = 3$ ,  $n = 5$ .



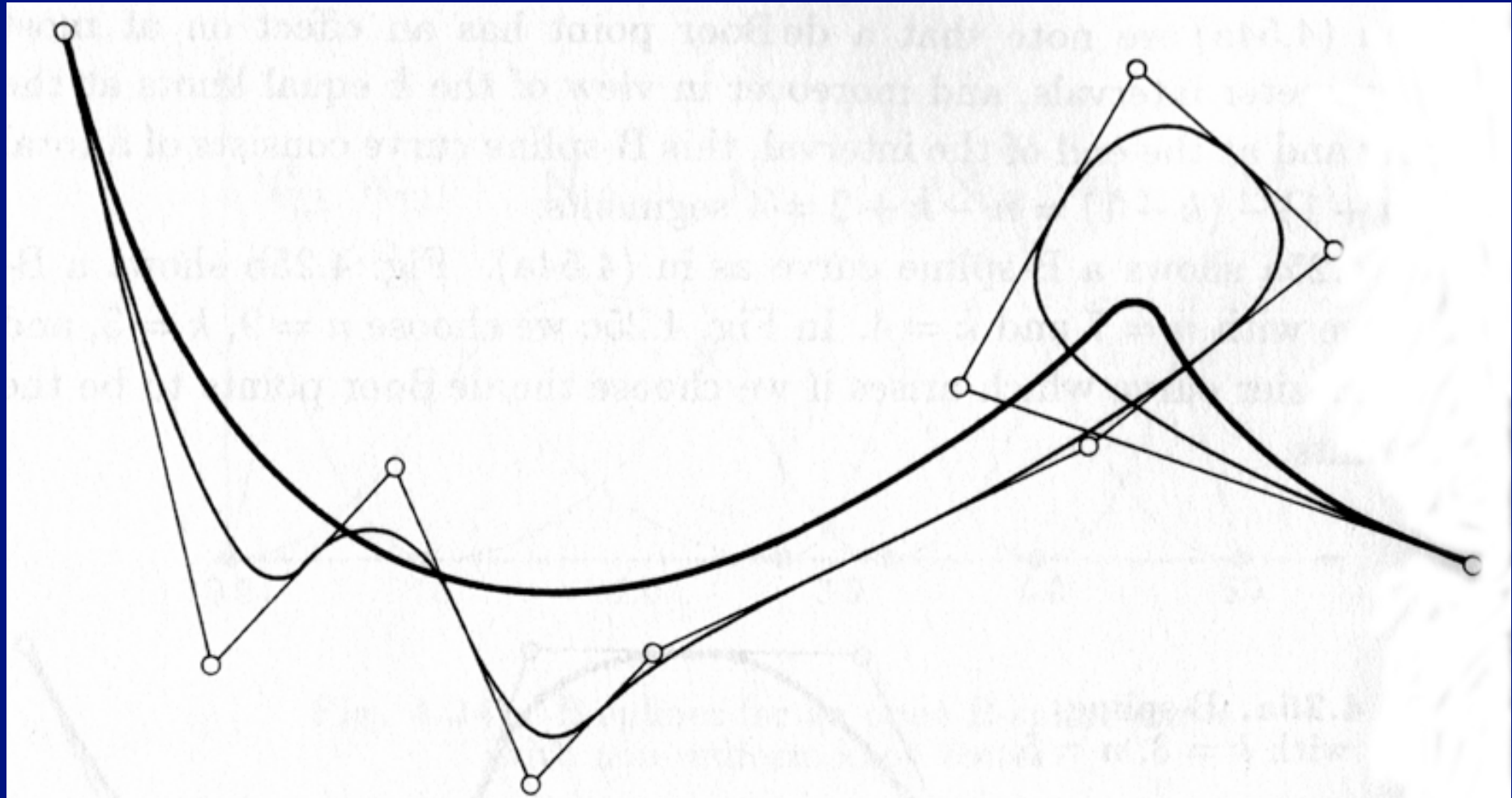
**Fig. 4.25b.** B-spline curve with  $k = 4$ ,  $n = 7$ .



top curve has order 3, bottom order 4



**Fig. 4.24b.** B-splines for an open B-spline curve with non-uniform knot vector.



**Fig. 4.25c.** B-spline curve with  $k = 3, n = 9$  and the Bézier curve of degree 9 with the same control polygon.

Bezier curve is the heavy curve

# B-Spline properties

- For a B-spline curve of order  $d$ 
  - if  $m$  knots coincide, the curve is  $C^{d-m-1}$  at the corresponding point
  - if  $d-1$  points of the control polygon are collinear, then the curve is tangent to the polygon
  - if  $d$  points of the control polygon are collinear, then the curve and the polygon have a common segment
  - if  $d-1$  points coincide, then the curve interpolates the common point and the two adjacent sides of the polygon are tangent to the curve
  - each segment of the curve lies in the convex hull of the associated  $d$  points



# Recursive definition

- Switches=base case

$$N_{i,1} = \begin{cases} 1 & t_i \leq t \leq t_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

- Spline

$$N_{i,d} = \left( \frac{t - t_i}{t_{i+d-1} - t_i} \right) N_{i,d-1}(t) + \left( \frac{t_{i+d} - t}{t_{i+d} - t_{i+1}} \right) N_{i+1,d-1}(t)$$