## Image processing basics



Many slides adapted from
Alyosha Efros, Derek Hoiem

## Image processing basics: Outline

- Images as sampled functions
- Sampling and reconstruction, aliasing
- Image resampling, interpolation
- Image transformations


FIGURE 2.1: A high-level model of imaging. Light leaves light sources and reflects from surfaces. Eventually, some light arrives at a camera and enters a lens system. Some of that light arrives at a photosensor inside the camera.

## Image formation (preview)

- What determines the brightness of an image pixel?



Pixel value

FIGURE 2.2: The photosensor is divided into a grid of pixels, which are small sensitive locations. Each pixel receives an incoming spectral energy field, and turns it into a number. This number is typically a weighted average over a position in the sensor, a very small range of incoming directions and a large range of wavelengths. Each pixel is at a different position in the sensor, and the lens system and camera geometry ensure that each sees a different set of incoming directions, so that the averages produce a coherent image.


FIGURE 2.2: The photosensor is divided int $p_{i j}=k \int_{\mathcal{P}} \int_{\mathcal{W}} \int_{\Lambda} E(\mathbf{u}, \omega, \lambda) w(\mathbf{u}, \omega, \lambda) d \mathbf{u} d \omega d \lambda$
sitive locations. Each pixel receives an inca into a number. This number is typically a sensor, a very small range of incoming dire Each pixel is at a different position in the : geometry ensure that each sees a different averages produce a coherent image.
here $w$ is the weight function or sensitivity of the sensor
$=k \int_{\Lambda} E([i \Delta x, j \Delta y, 0], \omega, \lambda) w(\lambda) d \mathbf{u} d \lambda$
because the averages are over very small ranges
$=k \Phi(i \Delta x, j \Delta y, 0)$

## Images as sampled functions



## Digital color image



## Images in Python

| im $=$ cv2.imread(filename) | \# read image |
| :--- | :--- |
| im $=$ cv2.cvtColor(im, cv2.COLOR_BGR2RGB) | \# order channels as RGB |
| $i m=i m / 255$ |  |

RGB image im is a $\mathrm{H} \times \mathrm{W} \times 3$ matrix (numpy.ndarray)
im $[0,0,0]$ is the top-left pixel value in R -channel
$\operatorname{im}[y, x, c]$ is the value $y+1$ pixels down, $x+1$ pixels to right in the $c^{\text {th }}$ channel
im $[\mathrm{H}-1, \mathrm{~W}-1,2]$ is the bottom-right pixel in B-channel

| column |  |  |  |  |  |  |  |  |  |  |  | $R$ |  | $G$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0.92 | 0.93 | 0.94 | 0.97 | 0.62 | 0.37 | 0.85 | 0.97 | 0.93 | 0.92 | 0.99 |  |  |  |  |
|  | 0.95 | 0.89 | 0.82 | 0.89 | 0.56 | 0.31 | 0.75 | 0.92 | 0.81 | 0.95 | 0.91 |  |  |  |  |
|  | 0.89 | 0.72 | 0.51 | 0.55 | 0.51 | 0.42 | 0.57 | 0.41 | 0.49 | 0.91 | 0.92 | 0.92 | 0.99 |  |  |
|  | 0.96 | 0.95 | 0.88 | 0.94 | 0.56 | 0.46 | 0.91 | 0.87 | 0.90 | 0.97 | 0.95 | 0.95 | 0.91 |  |  |
|  | 0.71 | 0.81 | 0.81 | 0.87 | 0.57 | 0.37 | 0.80 | 0.88 | 0.89 | 0.79 | 0.85 | 0.91 | 0.92 | 0.92 | 0.99 |
|  | 0.49 | 0.62 | 0.60 | 0.58 | 0.50 | 0.60 | 0.58 | 0.50 | 0.61 | 0.45 | 0.33 |  |  |  |  |
|  | 0.86 | 0.84 | 0.74 | 0.58 | 0.51 | 0.39 | 0.73 | 0.92 | 0.91 | 0.49 | 0.74 | 0.97 <br> 0.79 <br> 0.45 | 0.95 | 0.95 | 0.91 |
|  | 0.96 | 0.67 | 0.54 | 0.85 | 0.48 | 0.37 | 0.88 | 0.90 | 0.94 | 0.82 | 0.93 | 0.45 | 0.33 | 0.91 | 0.92 |
|  | 0.69 | 0.49 | 0.56 | 0.66 | 0.43 | 0.42 | 0.77 | 0.73 | 0.71 | 0.90 | 0.99 |  |  | 0.97 | 0.95 |
|  | 0.79 | 0.73 | 0.90 | 0.67 | 0.33 | 0.61 | 0.69 | 0.79 | 0.73 | 0.93 | 0.97 | 0.49 | 0.74 | 0.79 | 0.85 |
|  | 0.91 | 0.94 | 0.89 | 0.49 | 0.41 | 0.78 | 0.78 | 0.77 | 0.89 | 0.99 | 0.93 | 0.82 | 0.99 | 0.45 | 0.33 |
|  |  |  | 0.79 | 0.73 | 0.90 | 0.67 | 0.33 | 0.61 | 0.69 | 0.79 | 0.73 | 0.93 | 0.97 | 0.49 | 0.74 |
|  |  |  | 0.91 | 0.94 | 0.89 | 0.49 | 0.41 | 0.78 | 0.78 | 0.77 | 0.89 | 0.99 | 0.93 | 0.82 | 0.99 |
|  |  |  |  |  | 0.79 | 0.73 | 0.90 | 0.67 | 0.33 | 0.61 | 0.69 | 0.79 | 0.73 | $\begin{array}{r} 0.93 \\ \hline 0.99 \\ \hline \end{array}$ | 0.97 |
|  |  |  |  |  | 0.91 | 0.94 | 0.89 | 0.49 | 0.41 | 0.78 | 0.78 | 0.77 0.89 |  |  | 0.93 |

How are the three color channels acquired?

## Acquiring 3 channels



How are the three channels acquired?


## Images as sampled functions

- We like to think of a digital image as a sampled representation of a continuous function $f(x, y)$ defined over a continuous 2D domain



## Sampling



## Sampling and reconstruction

- Sampling: recording the function's values at a discrete set of locations
- Reconstruction: converting a sampled representation back into a continuous function by "guessing" what happens between the samples


Reconstruction


## 1D example: Digital audio

- Recording: sound to analog to samples to disc
- Playback: disc to samples to analog to sound again


Source: S. Marschner (via A. Efros)

## Sampling and reconstruction

- Simple example: a sine wave


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## Sampling and reconstruction

- Simple example: a sine wave
- What if we "missed" things between the samples?
- Unsurprising result: information is lost


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## Sampling and reconstruction

- Simple example: a sine wave
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## Sampling and reconstruction

- Simple example: a sine wave
- What if we "missed" things between the samples?
- Unsurprising result: information is lost
- Surprising result: indistinguishable from lower frequencies (or even higher frequencies)
- Aliasing: signal "traveling in disguise" as other frequencies


Source: S. Marschner (via A. Efros)

## Wagon wheel effect

- Without dot, wheel appears to be slowly rotating backwards!



## Aliasing in images



## What's happening?

FIGURE 4.3: The two checkerboards on the top illustrate a sampling procedure that appears to be successful (whether it is or not depends on some details that we will deal with later). The gray circles represent the samples; if there are sufficient samples, then the samples represent the detail in the underlying function. The sampling procedures shown on the bottom are unequivocally unsuccessful; the samples suggest that there are fewer checks than there are. This illustrates two important phenomena: first, successful sampling schemes sample data often enough; and second, unsuccessful sampling schemes cause high-frequency information to appear as lower-frequency information.


## Aliasing "in the wild"

Disintegrating textures


Source

Moire patterns, false color


Source


Source

## Nyquist-Shannon sampling theorem

- When sampling a signal at discrete intervals, the sampling frequency must be at least twice the maximum frequency of the input signal to allow us to reconstruct the original perfectly from the sampled version

https://en.wikipedia.org/wiki/Nyquist-Shannon sampling theorem


## Anti-aliasing

- What are possible solutions?
- Sample more often (if you can)
- Get rid of all frequencies that are greater than half the new sampling frequency
- Will lose information, but that's better than aliasing
- How to get rid of high frequencies?
- Apply a smoothing or low-pass filter (later)




## Why should you care about anti-aliasing?



Figure 1. Classification stability for selected images. Predicted probability of the correct class changes when shifting the image. The baseline (black) exhibits chaotic behavior, which is stabilized by our method (blue). We find this behavior across networks and datasets. Here, we show selected examples using AlexNet on ImageNet (top) and VGG on CIFAR10 (bottom). Code and anti-aliased versions of popular networks are available at https://richzhang.github.io/antialiased-cnns/.
R. Zhang. Making convolutional networks shift-invariant again. ICML 2019

## Image processing basics: Outline

- Images as sampled functions
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- Image resampling, interpolation


## Subsampling an image

- How do we reduce the size of an image by a factor of two?



## Subsampling an image

- How do we reduce the size of an image by a factor of two?



## Subsampling without pre-filtering




## Subsampling with pre-filtering



1/2

$1 / 4$


1/8

- Image is smoothed with a Gaussian filter before subsampling


## Interpolation


$4 \times 4$


Downsample to $3 \times 3$ :
Must supply red pixels Procedures:
-nearest neighbor value
-bilinear interpolation
-other interpolation

## Linear and Bilinear Interpolation



## Bilinear interpolation

We want a value at $i+\delta i, j+\delta j$, where $i$ and $j$ are integers; $0<\delta i<1$; and $0<\delta j<1$. Write $v_{i j}$ for the value at $i, j$. Then use

$$
v=v_{i j}(1-\delta i)(1-\delta j)+v_{i+1, j}(\delta i)(1-\delta j)+v_{i, j+1}(1-\delta i)(\delta j)+v_{i+1, j+1}(\delta i)(\delta j)
$$

Notice that if $\delta i$ and $\delta j$ are both zero, then $v=v_{i j}$; if they are both one, $v=$ $v_{i+1, j+1}$; and $v$ will interpolate the value at the other two corners, too. By a little manipulation, you can show that this procedure boils down to: predict a value for $i+\delta i, j$ using a linear interpolate; predict a value for $i+\delta i, j+\delta j$ using a linear interpolate; now linearly interpolate between these two to get a value for $i+\delta i, j+\delta j$. Modern hardware is particularly efficient at bilinear interpolation, and any reasonable software environment will be able to do this for you.

## Upsampling an image

- How do we increase the size of an image by a factor of two?



## Upsampling an image

- How do we increase the size of an image by a factor of two?



## Application: Demosaicing

| $?$ |  | $?$ |  | $?$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $?$ | $?$ |  | $?$ | $?$ | $?$ |
| $?$ |  | $?$ |  | $?$ |  |
| $?$ | $?$ | $?$ | $?$ | $?$ |  |
| $?$ |  | $?$ |  | $?$ |  |
| $?$ | $?$ | $?$ | $?$ | $?$ | $?$ |


|  | $?$ |  | $?$ |  | $?$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $?$ |  | $?$ |  | $?$ |  |
|  | $?$ |  | $?$ |  | $?$ |
| $?$ | $\rightarrow$ | $\frac{1}{2}$ |  | $?$ |  |
|  | $?$ | 1 | $?$ |  | $?$ |
| $?$ |  | $?$ |  | $?$ |  |


| $?$ | $?$ | $?$ | $?$ | $?$ | $?$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | $?$ |  | $?$ |  | $?$ |
| $?$ |  | $?$ | $?$ | $?$ | $?$ |
|  | $?$ | $?$ | $?$ |  | $?$ |
| $?$ | $?$ | $?$ | $?$ | $?$ | $?$ |
|  | $?$ |  | $?$ |  | $?$ |

## Bilinear interpolation more generally


http://en.wikipedia.org/wiki/Bilinear_interpolation

## Other kinds of interpolation



## Interpolation and function extrema

- When you use linear interpolation, extrema of the image function can only occur at the original sample points
- What about nonlinear interpolation?



## Image processing basics: Outline

- Images as sampled functions
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- Image transformations


## Image transformations



## Image transformations



## Image transformations



Contrast change


## Image transformations



## Image transformations



## Point processing

- Change range of image:
- Apply the same function to each pixel value
- Many applications
- Common
- Linear sensors produce strange pictures
- The world has high dynamic range, 8 bits is too few
- Typically 12 bit sensor, 8 bit image
- Most cameras process sensor results before they report them




From wikipedia


FIGURE 2.7: A typical camera response function, mapping the response a linear sensor would compute to the output recorded by the camera. Notice that locations that would be quite dark for a linear sensor will be lighter; but as the linear sensor gets very bright, the output recorded by the camera grows slowly. This means that the range of outputs is smaller than the range of inputs, which is helpful for practical cameras. This response function is typically located deep in the camera's electronics. Typical consumer cameras apply a variety of transforms before reporting an image, though one can often persuade cameras to produce an untransformed, linear response image (a RAW file).

## Negative



FIGURE 2.8: Mapping individual pixel values using the mapping in the center will transform the image on the left to that on the right. This function maps light pixel values to dark ones, and vice versa, and is often called a negative.

## Contrast adjustment





FIGURE 2.9: Mapping individual pixel values using the mapping in the center will transform the image on the left to that on the right. Notice how the mapping compresses the range of dark and light pixels, and expands that of mid-range pixels, so adjusting the contrast of the image.

## Gamma correction



FIGURE 2.10: Many imaging and rendering devices have a response that is a power of the input, so that output $=$ Cinput $^{\gamma}$, where $\gamma$ is a parameter of the device. One can simulate this effect by applying a transform like those shown in the center (curves for several values of $\gamma$ ). Note that you can remove the effect of such a transform - gamma correct the image - by applying another such transform with an appropriately chosen $\gamma$. The image on the left is transformed to the two examples on the right with different $\gamma$ values.

## Image filtering

- Roughly speaking, replace image value at $x$ with some function of values in its spatial neighborhood $N(x)$ :

$$
g(x)=T(f(N(x)))
$$





## Image filtering

- Roughly speaking, replace image value at $x$ with some function of values in its spatial neighborhood $N(x)$ :

$$
g(x)=T(f(N(x)))
$$



- Examples: smoothing, sharpening, edge detection, etc.


## Image warping

- Change domain of image:

$$
x^{\prime}=T(x), \quad g\left(x^{\prime}\right)=f(x)
$$



## Image warping

- Change domain of image:

$$
x^{\prime}=T(x), \quad g\left(x^{\prime}\right)=f(x)
$$



## Image warping

- Examples of global parametric warps:

affine

perspective
homography

scaling (uniform or non-uniform)

cylindrical (not covered in this class)


FIGURE 2.11: Two common coordinate systems for images. On the left, the origin is at the top left corner, and we count in pixels. This is an $M \times N$ image. I will use the convention $\mathcal{I}_{i j}$ for points in this coordinate system, so the top right pixel is $\mathcal{I}_{16}$. On the right, the origin is at the bottom left, and the coordinate axes are more familiar. It is a good idea to use a range from $0-1$ (rather than $0-M$ ) in this coordinate system, but if the image is not square one direction will run from 0 to a. I will use the convention $\mathcal{I}(x, y)$ for points in this coordinate system, so that the bottom left pixel is $\mathcal{I}(u, v)$.

## Translation

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:


- Translating an image where $u(x, y)=x+t_{x}, v(x, y)=y+t_{y}$ (check that if $t_{x}>0, t_{y}>0$, the image moves up and to the right, as in the figure).


## Rotation

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:


- Rotating an image where $u(x, y)=x \cos \theta-y \sin \theta, v(x, y)=x \sin \theta+y \cos \theta$ (check that if $\theta>0$, the rotation is counterclockwise, as in the figure).


## Rotation

- Rotate the image by an angle of $\theta$ about the origin:

$$
\begin{aligned}
& x^{\prime}=r \cos (\phi+\theta)=r \cos (\phi) \cos (\theta)-r \sin (\phi) \sin (\theta)=x \cos (\theta)-y \sin (\theta) \\
& y^{\prime}=r \sin (\phi+\theta)=r \sin (\phi) \cos (\theta)+r \cos (\phi) \sin (\theta)=x \sin (\theta)+y \cos (\theta)
\end{aligned}
$$



## Rotation

- Rotate the image by an angle of $\theta$ about the origin:

$$
\begin{aligned}
& x^{\prime}=x \cos (\theta)-y \sin (\theta) \\
& y^{\prime}=x \sin (\theta)+y \cos (\theta)
\end{aligned}
$$



In matrix form:

$$
\binom{x^{\prime}}{y^{\prime}}=\left[\begin{array}{cc}
\cos (\theta) & -\sin (\theta) \\
\sin (\theta) & \cos (\theta)
\end{array}\right]\binom{x}{y}
$$

## Rotation

- 2D rotation in matrix form:

$$
\binom{x^{\prime}}{y^{\prime}}=\underbrace{\left[\begin{array}{cc}
\cos (\theta) & -\sin (\theta) \\
\sin (\theta) & \cos (\theta)
\end{array}\right]}_{R(\theta)}\binom{x}{y}
$$

- Note: even though $\cos (\theta)$ and $\sin (\theta)$ are nonlinear functions of $\theta$, $x^{\prime}$ and $y^{\prime}$ are linear combinations of $x$ and $y$
- What is the inverse transformation?
- Rotation by $-\theta$
- For rotation matrices, $R^{-1}=R^{T}$


## Uniform scaling

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:



- Scaling an image uniformly where $u(x, y)=s x, v(x, y)=s y$ (check that if $s>1$, the image gets bigger, and if $s<1$, it gets smaller, as in the figure).


## Non-uniform scaling

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:



- Scaling an image non-uniformly where $u(x, y)=s x, v(x, y)=t y$.


## Affine transformation

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:

Source image
Target image


- Affine transformations where $u(x, y)=a x+b y+c, v(x, y)=d x+e y+f$.


## Projective transformation

Write $\mathcal{S}$ for a source image and $\mathcal{T}$ for a target image. Many important transformations have the form $\mathcal{T}(u(x, y), v(x, y))=\mathcal{S}(x, y)$. Simpler examples include:


- Projective transformations where $u(x, y)=\frac{a x+b y+c}{g x+h y+i}, v(x, y)=\frac{d x+e y+f}{g x+h y+i}$.


## Warping pixels

- Given a coordinate transform $\left(x^{\prime}, y^{\prime}\right)=T(x, y)$ and a source image $f(x, y)$, how do we compute a transformed image $g\left(x^{\prime}, y^{\prime}\right)=f(T(x, y))$ ?



## Forward warping

- Send each pixel $f(x, y)$ to its corresponding location $\left(x^{\prime}, y^{\prime}\right)=T(x, y)$ in the second image



## Forward warping

- Send each pixel $f(x, y)$ to its corresponding location $\left(x^{\prime}, y^{\prime}\right)=T(x, y)$ in the second image
- What if $\left(x^{\prime}, y^{\prime}\right)$ is not an integer location on the pixel grid?
- We can "distribute" colors among the neighboring grid locations known as splatting

- Often (usually) holes in target image!


## Inverse warping

- For each pixel grid location $\left(x^{\prime}, y^{\prime}\right)$ in the second image, get the color from its corresponding location $(x, y)=T^{-1}\left(x^{\prime}, y^{\prime}\right)$ in the first image



## Inverse warping

- For each pixel grid location $\left(x^{\prime}, y^{\prime}\right)$ in the second image, get the color from its corresponding location $(x, y)=T^{-1}\left(x^{\prime}, y^{\prime}\right)$ in the first image
- What if $(x, y)$ is not an integer location on the original pixel grid?
- Interpolate!



## Forward vs. inverse warping

- Which is better?
- Usually inverse: more efficient, doesn't have a problem with holes
- However, it requires an invertible warp function, which is not always possible

Images relative to other images:
Principal components analysis can be applied to images (sometimes useful)

## Principal components analysis:

Assume we have a dataset of $N d$-dimensional vectors $\{\mathrm{x}\}$. This dataset has mean mean ( $\{\mathbf{x}\}$ ) and covariance Covmat ( $\{\mathbf{x}\}$ ). Principal components analysis yields a set of directions $\mathbf{p}_{j}$, which are eigenvectors of the covariance matrix. These directions are orthonormal (so that $\mathbf{p}_{i}^{T} \mathbf{p}_{j}$ is one if $i=j$ and zero otherwise.

Any data item $\mathbf{x}_{i}$ can be represented as

$$
\mathbf{x}_{i}=\operatorname{mean}(\{\mathbf{x}\})+\sum_{j=1}^{w} s_{i, j} \mathbf{p}_{j} .
$$

## Principal components analysis:

Most datasets have the remarkable property that this representation has very low error even when $w$ is considerably smaller than $d$ (Chapter 33.2 if you haven't seen this before). The coefficents $s_{i, j}$ have strong properties, too. First, the mean over the dataset of each coefficient is zero, so

$$
\frac{1}{N} \sum_{i} s_{i, j}=0
$$

and second, the directions can be ordered by the variance of the coefficients. Write

$$
\operatorname{var}(\{s\})_{j}=\frac{1}{N} \sum_{i} s_{i, j}^{2}
$$

for the variance of the $j$ 'th coefficient; then if $k>j$, $\operatorname{var}(\{s\})_{k}<\operatorname{var}(\{s\})_{j}$. Note that $\operatorname{var}(\{s\})_{j}$ is the $j$ 'th largest eigenvalue of the covariance. The $\mathbf{p}_{j}$ are known as principal components (sometimes loadings) of the dataset; the $s_{i, j}$ are sometimes known as scores, but are usually just called coefficients. Forming the representation is called principal components analysis or PCA.

Mean image from Japanese Facial Expression dataset


FIGURE 2.16: The mean and first 16 principal components of the Japanese facial expression dataset.

Eigenvalues, total of 213 images


FIGURE 2.15: On the left,the eigenvalues of the covariance of the Japanese facial expression dataset; there are 4096, so it's hard to see the curve (which is packed to the left). On the right, a zoomed version of the curve, showing how quickly the values of the eigenvalues get small.


FIGURE 2.17: Approximating a face image by the mean and some principal components; notice how good the approximation becomes with relatively few components.

